

**Management Development Programme**  
on  
**“Risk Management, Treasury Operations in  
Banks/Financial Institutions”**

**September 27 -28, 2019**



**Program Directors:**

**Prof. Deepak Tandon  
Prof. R.K. Arora**



**International Management Institute  
New Delhi**

## **INTRODUCTION**

Banks and Financial Institutions have to deal with risks that can have a potentially negative effect on their business. Risk management aims at risk identification, its measurement and assessment. The main objective of risk management is to minimize the negative effect risks can have on the financial results and capital of a bank. Banks and Financial Institutions are particularly exposed to liquidity risk, credit risk, market risks, exposure risks, investment risks, operational risk, legal risk, reputational risk and strategic risk. There is a need to be conversant with the application of risk management tools and implementation of suitable practices to mitigate risk in the current uphill task of Basel III guidelines to the banks.

## **OBJECTIVES**

The program is targeted at executives of banks in private and public sector and financial institutions inclusive of NBFC's, to be able to appreciate the concepts and implementation of norms and systems to deal with risk management in effective ways. The program will enable participants to get operational insights into implications of identification and system based elimination of risk in various facets of their functioning.

## **CONTENTS**

- Imperatives of Risk Management in Banks and Financial Institutions
- Value drivers for Banks and Financial Institutions
- Shareholders, regulators and debt providers' perspective of risk
- Identification and management of major risks: credit, market, liquidity, operational, legal, regulatory, and reputation risk
- RBI and Basel III norms for Risk Management- Issues & Challenges (LCR.NSFR etc).
- Asset –Liability Matching in Bank's Balance sheet

## **METHODOLOGY**

The program will use a blend of activities/exercises, interactive discussions, presentations, lectures and case studies.

## **WHO MAY ATTEND**

Officers, managers and executives in Banks and financial institutions in operations, inspection, administrative offices and risk departments.

## **FACULTY AND RESOURCE PERSONS**

IMI faculty members and eminent resource persons will share expertise and experience in various sessions.

## **PROGRAM DIRECTORS**

**Prof. Deepak Tandon** is a Professor (Finance & Accounting). He is M.Sc. (Delhi University), L.L.B (Delhi University), CAIIB, MBA (Finance), Diploma International Trade – Exports (Bangalore) and Ph.D. –Washington DC USA. Prof. Tandon has over 37 Years' experience of both Industry as well as Academics. He has over 2 decades of academic teaching experience at renowned institutions, which includes University of Luton, University of Bedfordshire UK, Sasin University, Bangkok apart from Lal Bahadur Shastri Institute of Management, New Delhi, IMT Ghaziabad, British Council, ICAI, Shriram College of Commerce at New Delhi and SP Jain Institute of

Management, Mumbai. He has also rich industry experience of over 18 years having worked at Senior Management levels in Punjab National Bank, PNB Capital Services, Vysya Bank, J&K Bank Ltd, IndusInd Bank and Oriental Bank of Commerce.

Prof. Tandon is an approved ISO Lead Auditor from Nigel Bauer & Associates (Germany) and is an IRCA Certified (London) Auditor. He has written many books and has authored over 130 research papers in journals of repute. He is on the editorial Board of over 11 International Journals. His areas of expertise are Financial Management, Management of Banks, and Treasury & Risk Management in Banks, Investment Banking, Working Capital Management and Financial & Commodity Derivatives. He has an accredited recognition of receiving awards in areas of Banking & academics from various bodies & universities of repute. He has also been a Chairperson / Keynote speaker at various National and International Conferences. He is a recipient of various National and International academic and research awards and accolades from various Government and academic bodies of repute. He represents various academic institutes and universities as member of academic audit committees.

**Prof. Ravinder Kumar Arora** is Professor of Finance and Accounting at International Management Institute, New Delhi. He is a B. Com with distinction from Panjab University, an MBA from University Business School, Chandigarh and a doctorate from Indian Institute of Technology, Delhi. He is also a Fellow Member of The Institute of Cost Accountants of India and The Institute of Company Secretaries of India. He has more than three decades of industry, teaching and research experience. He has published his research in premier national and international journals.

#### **ADMINISTRATIVE DETAILS**

**Dates:**

**September 27 & 28, 2019**

**Venue:**

International Management Institute  
B-10, Qutub Institutional Area  
New Delhi 110016

**FEE**

**Non-residential:** Rs. 18,000/- per participant (plus @18% GST) covering professional fee, program kit and tea/lunch.

**Residential:** Rs. 25,000/- per participant (plus @ 18% GST) covering professional fee, program kit and boarding and lodging (air-conditioned single room) at IMI Campus, New Delhi from noon of **September 26, 2019** noon of **September 29, 2019**.

**Group Discounts**

- 10% discount on fee amount is available in case an organization nominates 2 or more participants to the program.
- 20% discount on fee amount is available in case an organization nominates 4 or more participants to the program.

Fee is payable in advance by way of local cheque/DD in favor of "**International Management Institute**" payable at New Delhi or through direct electronic fund transfer to IMI Bank Account, as per following details:

Allahabad Bank Account Number: 50084979288  
MICR Code: 110010007, IFSC Code: ALLA0211083

Pan No. AAAT10972K; GST No. AAAT10972KIZJ

Please mention the program name while making payment through electronic fund transfer.

### **REGISTRATION**

The registration form duly filled in along with the program fee should reach us at least 7 days before the commencement date of the program. **In case of subsequent withdrawal or cancellation of registration no refund of fee will be allowed.** However, substitute(s) may be permitted with prior intimation.

### **CERTIFICATE OF PARTICIPATION**

A certificate of successful participation by International Management Institute (IMI) will be issued to each delegate at the culmination of the program.

### **ABOUT IMI**

International Management Institute (IMI) is India's first corporate sponsored Business School founded in 1981 with the original campus in New Delhi. The corporate sponsors included RPG Enterprises (lead sponsor), ITC, Nestle, Tata Chemicals, British Oxygen, SAIL, and Williamson Magor. It was set up in collaboration with the then IMI Geneva, now IMD Lausanne. Subsequently two other campuses were set up in Kolkata (2010) and Bhubaneswar (2011). In the last several years, IMI New Delhi has been consistently ranked in the top 10-15 Business Schools in the country and among the top 5 private Business Schools. It is accredited by the UK based Association of MBAs (AMBA), one of the top three international accreditation bodies for Business Schools globally.

IMI New Delhi has global linkages with leading schools in Europe, North America, and Asia. The Institute currently offers the PGDM, PGDM-HRM, PGDM-Banking & Financial Services, Executive PGDM and FPM (doctoral program). In addition, it has built a vibrant Executive Education vertical offering training to management professionals from the corporate world. The Institute has been empaneled by the Government of India to train participants from developing countries under the International Technical & Economic Corporation (ITEC) program of the Ministry of External Affairs.

IMI New Delhi puts a strong focus on high quality research by its faculty leading to publications in international peer reviewed academic journals. Its faculty have PhDs from top international and national universities/institutes, with many of them also having significant corporate experience.

***For registration/any other information, please contact:***

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